

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## Application of

**Applicants** 

: Cem Basceri et al

Serial No.

09/903,160

Filed

: July 11, 2001

Title

: CAPACITOR WITH HIGH DIELECTRIC CONSTANT MATERI

AND METHOD OF MAKING

Docket

: MIO 0062 PA (99-0426; 98-1296)

Art Unit

: 2811

**Assistant Commissioner for Patents** 

Washington, DC 20231

Examiner

: D. Owens

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

September 10, 2002

Sir:

Reg. No. 48,624

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56, 1.97, AND 1.98

Applicants submit herewith patents, publications, and other information of which they are aware, which they believe may be material, as defined in 37 CFR §1.56(b), to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56(a). While the information referred to in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR §1.56(b), the filing of this Supplemental Information Disclosure Statement is not intended to, pursuant to 37 CFR §1.97(h), constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. Further, pursuant to 37 CFR §1.97(g), the filing of this Statement should not be construed as a statement that a search has been made or that no other material information exists.

A listing of all items of information cited is attached on PTO/SB/08A, a substitute for form 1449A/PTO. Copies of the items are enclosed for the convenience of the Examiner.

09/18/2002 MMEKONEN 00000010 09903160

01 FC:126

180.00 OP

Serial No. 09/903,160 Docket No. MIO 0062 PA

This Information Disclosure Statement is being filed after the period set forth in §1.97(b) and after the mailing date of a final action under §1.113 or a notice of allowance, but before payment of the issue fee. A check in the amount of \$180.00 is enclosed as payment of the fee required by 37 CFR §1.17(p).

The undersigned attorney certifies that no item of information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this statement.

Respectfully submitted,

KILLWORTH, GOTTMAN, HAGAN & SCHAEFF, L.L.P.

By

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JGC/AMS Encls. OTP E 2002 19

GP 2811
PTO/SB/17/11-01)

PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 651-0032
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FEE	TRANSMITTAL	
•	for FY 2002	
Pate	ent fees are subject to annual revision	

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Co	omplet if Known	
Application Number	09/903,160	
Filing Date	July 11, 2001	
First Named Inventor	Cem Basceri	
Examiner Name	D. Owens	
Group Art Unit	2811	
Attorney Docket No.	MIO 0062 PA (99-0426;98-1296)	

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)						
X Check Credit card Money Other None	3. ADD	ITI	ON	AL FE	EES			
Deposit Account:	Large Enti	ity	Sma	II Entit	t <u>y</u>			
Deposit Account:  Deposit Account	Fee Fe	ee 5)	Fee Cod	Fee e (\$)	Fee Description	Fee Paid		
Number	105 130	0	205	65	Surcharge - late filing fee or oath			
Deposit Account Name	127 5	0	227	25	Surcharge - late provisional filing fee or cover sheet			
The Commissioner is authorized to: (check all that apply)	139 13	٥	139	130	Non-English specification			
Charge fee(s) indicated below Credit any overpayments	147 2,52	20	147	2,520	For filing a request for ex parte reexamination			
Charge any additional fee(s) during the pendency of this application	112 92	0*	112	920*	Requesting publication of SIR prior to			
Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.	440 4 04		440	4 0 4 0 4	Examiner action			
FEE CALCULATION	113 1,84	10"	113	1,840-	Requesting publication of SIR after Examiner action	<b>→</b>		
1. BASIC FILING FEE	115 110	o	215	55	Requesting publication of SIR after Examiner action  Extension for reply within first month			
Large Entity   Small Entity	116 40	0	216	200	Extension for reply within second month	P 9		
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 - 920	0	217	460	Extension for reply within third month	<del>  3   1</del>		
101 740 201 370 Utility filing fee	118 1,44	10	218	720	Extension for reply within fourth month	2 7		
106 330 206 165 Design filing fee	128 1,96	30	228	980	Extension for reply within fifth month	200		
107 510 207 255 Plant filling fee	119 320	0	219	160	Notice of Appeal	2		
108 740 208 370 Reissue filing fee	120 32	0	220	160	Filing a brief in support of an appeal $\infty$			
114 160 214 80 Provisional filing fee	121 280	0	221	140	Request for oral hearing			
SUBTOTAL (1) (\$) -0-	138 1,51	10	138	1,510	Petition to institute a public use proceeding			
	140 110	1	240	55	Petition to revive - unavoidable			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	141 1,28	1	241	640	Petition to revive - unintentional			
Extra Claims below Fee Paid	142 1,28		242		Utility issue fee (or reissue)			
Total Claims	143 460 144 620	- 1	243	230 310	Design issue fee Plant issue fee			
Claims	122 13	_	122	130	Petitions to the Commissioner			
	123 5	īΙ	123	50	Processing fee under 37 CFR 1.17(q)			
Large Entity   Small Entity	126 18	Ť	126	180	Submission of Information Disclosure Stmt	180		
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	581 4	_ I	581	40	Recording each patent assignment per			
103 18 203 9 Claims in excess of 20	301 4	۲	J0 I	40	property (times number of properties)	<u> </u>		
102 84 202 42 Independent claims in excess of 3	146 74	٥	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))			
104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims over original patent	149 74	٥	249	370	For each additional invention to be examined (37 CFR § 1.129(b))			
110 18 210 9 ** Reissue claims in excess of 20	179 74	٥	279	370	Request for Continued Examination (RCE)			
and over original patent	169 90	0	169	900	Request for expedited examination of a design application			
SUBTOTAL (2) (\$) -0-	Other fee	(sp	ecify	)				
**or number previously paid, if greater; For Reissues, see above	*Reduced	ј Бу	Basi	ic Filing	g Fee Paid SUBTOTAL (3) (\$)	180.00		

SUBMITTED BY					Complete (if applicable)		
Name (Print/Type)	Julie G. Cope	Registration No. (Attorney/Agent)	48,624	Telephone	(937) 223-2050		
Signature	Julie (ose			Date	09/10/2002		

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